

# Higher Education Assessment (inclusive of FedTASKs) Procedure

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## **Purpose**

This procedure mandates operational activities and assigns responsibilities that are required to support the implementation of Federation University Australia's <u>Academic Governance Policy</u> LT2069. It steps through the practical actions required and reflects the Higher Education Standards Framework 2015.

### Scope



This procedure applies to assessment of all Federation University Australia undergraduate and coursework postgraduate units and for those units offered through collaborative provision (ie through third-party providers). However it **does not** apply to assessment of individual students in higher degrees by research which is the subject of the *Federation University Australia Academic Regulations 2022 (Schedule 5)*. It also provides context and detail to the Federation TASKs (FedTASKs) aligned with attributes aligned with industry preparedness (Section 14).

All staff and title holders of the University must comply with the <u>Academic Governance Policy</u> LT2069 and this procedure whenever they are engaged in any aspect of the assessment process.

### Legislative context

University Statutes and Regulations

- Federation University Australia Statute 2021
  - Part 5 Division 1 Academic Board
  - Part 6 Division 2 Award Programs
  - Part 6 Division 3 Academic progress
  - Part 6 Division 5 Suspension or exclusion on health or safety grounds
- Federation University Australia AcademicRegulations 2022
- Federation University Australia (Students) Regulations 2022

#### **Definitions**

Term	Definition
Academic integrity	Academic integrity is the honest and respectful engagement with the scholarships of learning, teaching, research and community. It is an essential moral code to be upheld by the academic community inclusive of staff and students. See <u>Academic Integrity Procedure</u> LT1944.
Active participation	<ul> <li>In learning, this can include but is not limited to:</li> <li>Formal classroom or online discussion</li> <li>Workshops, artistic performances, practical sessions or field trips</li> <li>Small group discussions or written exercises</li> <li>Class presentations, laboratory work, role plays, blogs, wikis and webinars</li> <li>Reflecting on, critiquing, or commenting on content or presentations provided by staff or other students</li> </ul> Attendance alone is not normally regarded as active participation
Alternative assessment	An assessment task provided to give a student further opportunity to demonstrate achievement of learning outcomes when they have been unable to do so in the assessment tasks stipulated in the Unit Description. Alternative assessment may be authorised by the Dean or nominee. Refer Higher Education Special Consideration Procedure SS1967
Assessment	The process of collecting evidence and making judgements on whether the agreed learning outcomes for that unit has been achieved

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Assessment Task	A specific, discrete learning activity or exercise designed to obtain evidence about a student's achievement of the published learning outcomes of a unit. Tasks can be diagnostic, formative or summative, including but not limited to, essays, presentations, performance, exhibition or final examinations
Unit	A subsidiary element of a course
Unit Coordinator	An academic with overall responsibility for the design, delivery and assessment of a unit of study
Unit Description	A thorough description of the expected unit learning outcomes, assessments, due dates, marking guides and sequence details of a unit. It must align with Federation University Unit Description template.
Unit Moderator	Academic staff member responsible for overseeing moderation of marking process within a unit delivery period. This may be the Unit Coordinator, or a designated member of the Unit Teaching Team, or Unit Grading Team, or a person independent from the unit, if deemed appropriate by the Executive Dean.
Unit Reviewer	Academic staff member independent of the coordination, teaching or marking of a unit within a unit delivery period. They may be from within or external to the discipline area.
Unit Teaching Team	All teaching staff involved in teaching within a unit delivery period
Unit Grading Team	All teaching staff involved in marking/grading assessments within a unit delivery period
Unit Outline	A brief description of the unit including learning outcomes, content and assessment which is approved by the Curriculum Committee and used as the basis for the Unit Description
Deferment of Assessment	An application for deferment of an assessment can only be made in exceptional circumstances, in accordance with the <u>Higher Education</u> <u>Special Consideration Procedure</u> SS1967
Diagnostic assessment	Assessment tasks used to determine students' level of knowledge, skills, and understandings prior so that curriculum and teaching can be adapted to support learning.
External benchmarking	Comparing performance outcomes and/or processes of similar units delivered by other Australian higher education providers
Formative assessment	Refers to a wide variety of assessment methods which are used to monitor students' progress against standards and provides them with feedback that compares their progress to standards and supports their learning. These assessment tasks can be integrative and support future student learning.
Final Unit Supplementary Assessment	Students who receive an MF grade in their final Semester may apply to the Course Coordinator within 7 days of the publication of results for supplementary assessment if that unit is the only outstanding unit required to complete the degree, the unit is not exempt from supplementary assessment, and the student has not been found guilty of plagiarism in that Semester. The highest grade attainable will be a Pass grade. This



	only applies if the student is not eligible for any other form of supplementary assessment.
Invigilated assessment	Any type of supervised assessment. An invigilated assessment task (written or practical) can be delivered during the teaching semester and/or timetabled within an examination period and that forms part of the final results in a unit or course, e.g. exams, in-class tests, online tests, etc.
Learning Access Plan (LAP)	A formal document that assists registered students to communicate their negotiated reasonable adjustments and/or other supports for flexible study with Institute/Schools within the University.
	The LAP outlines:
	how the elite performer/coach/athlete's commitments or medical condition, mental health condition, or disability impacts on a student's ability to participate in education
	the student's own strategies to address these impacts
	the adjustments required within the student's academic course
	in-class adjustments
	examination adjustments
	placement or off-campus activity adjustments     ather convices required.
	other services required
Moderation	A quality assurance process which ensures that assessment is continuously conducted with accuracy, consistency, transparency and fairness in design, practice and marking.
Moderation of Assessment Manual	A mandated resource for use in all types of assessment moderation
Peer Review and Benchmarking	Peer review is defined as a process where key stakeholders including teaching academics, provide constructive feedback on any aspect of one another's practice to improve quality of learning and teaching. "Benchmarking can be defined as a structured, collaborative learning process for comparing practices, processes or performance outcomes. Its purpose is to identify comparative strengths and weaknesses, as a basis for developing improvements in academic quality or performance" (Reference Guidance Note: External Referencing (176 KB), pg. 7).
Course	Study/research leading to the granting of an official University award or qualification – refer Schedule 5.1. A course contains subsidiary elements referred to as units
Course Coordinator	Person responsible for the overall management of a course or range of courses
Published Assessment Criteria	The criteria against which a student's performance in the unit will be judged, made available in writing to students within the Unit Description or via the University's LMS. The intent is to provide students with a clear and explicit understanding of the standards they are expected to achieve relative to marks awarded.
Published Learning Outcomes	Learning outcomes that are provided in writing to students in the Unit Description which is based on the Unit Outline prior to the start of teaching



	Learning outcomes serve as a reference point for preparation and review phases of moderation of assessment.
Ratification of results	The process used by Institute/Schools/Centre to approve student results and grades  The process of ratification should enable confidence that the result is sufficiently accurate to be representative of the total population of assessments being ratified
Reasonable adjustments	Measures and adaptations put in place to facilitate student participation in Units and Courses while ensuring that academic standards and inherent requirements of the Unit are not compromised
Institute/-School/Centre	Federation University Australia has a number of Academic Organisational Units
Special consideration	Acknowledgement by a Dean or nominee that a student has experienced or encountered some form of disadvantage or impediment that has prevented them from performing on their merits in an assessment task/s. In such circumstances, the particular disadvantage or impediment will be taken into account in assessing the student's work. To enable the student to demonstrate their achievement of the unit learning outcomes, they may be granted deferred assessment, additional assessment, an extension of an assessment due date or other suitable accommodation as deemed necessary. Such allowance may be made for one or more assessment tasks or units within any teaching semester. Grounds for a student to submit an application for special consideration include serious illness and/or serious psychological condition, loss or bereavement, or hardship/trauma - refer <i>Higher Education Special Consideration Procedure SS1967</i>
Student	Any person admitted to the University in a Unit or Course
Summative assessment	Summative assessments are used to evaluate student learning, skill acquisition, and academic achievement at the conclusion of a defined instructional period. There are three main criteria of summative assessment: (1) Used a tool to evaluate if students have learned the expected outcomes; (2) normally given at the conclusive of an instructional period; (3) results that are often recorded as scores or grades that are then factored into a student's permanent academic record (Reference: Link)
Supplementary assessment	An assessment or examination that provides eligible students with a further opportunity to demonstrate that they have achieved the required learning outcomes of a unit when they have marginally failed (45%+) previous examination/assessment tasks. Refer <u>Supplementary</u> <u>Assessment Procedure</u> LT2032
Third Party Providers	Other entities through which registered providers deliver higher education units, either on shore or off shore, within the requirements of the Threshold Standards, regardless of mode or location of delivery and includes various models.

# Supporting documents

• Academic Governance Policy AG2069

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- Academic Integrity Procedure LT1944
- <u>Disability Learning Access Plan (LAP) Procedure</u> SS1966
- Equal Opportunity and Valuing Diversity Guidelines CG1445
- Federation University Australia Academic Regulations 2022
- Federation University Australia (Students) Regulations 2022
- Higher Education Examinations Procedure LT1940
- Higher Education Special Consideration Procedure SS1967
- · Peer Review and Benchmarking Manual
- Student Evaluation of Learning and Teaching Procedure (Higher Education) CG1703
- Student Misconduct Procedure AG2062
- Study Adjustment and Course Flexibility Guidelines ST2092
- Supplementary Assessment Procedure LT2032

#### Forms.

- Course Coordinator's Ratification Report (PDF 1293.2kb)
- Moderation of Assessment Manual (PDF 458.0kb)
- Supplementary Guidelines (Learning Outcomes and Assessment) (DOCX 480.5kb)
- Supplementary Guidelines (Student Workload Guide) (DOCX 259.1kb)

#### **Actions**

Each of the mandatory procedures below, details the activity which must be conducted in accordance with the assessment principles outlined below.

### 1. Quality assurance

The <u>Moderation of Assessment Manual</u> provides operational guidance on three key types of assessment moderation to support Institutes/Schools/Centres with quality assurance measures for assessment design, assessment marking and assessment practices. Moderation of assessment ensures consistency, equity, integrity and compliance with contractual obligations at Institute/School/Centre level and all third-party providers.

	ACTIVITY	RESPONSIBILITY	STEPS
A.	Implementing and overseeing all processes for each type of	Executive Dean (or nominee)	Review planned unit offerings for the year
	assessment moderation	Dean (or nominee)  Associate Deputy Vice-Chancellor (Academic Quality and Accreditation)	Develop and publish agreed quality assurancetargets - as per Moderation of     Assessment Manual (refer Section 1.2)
B.	Defining roles, responsibilities and accountabilities of appointed staff involved in moderation processes	Executive Dean (or nominee)  Dean  Director, Learning and Teaching	1. As per Moderation of  Assessment Manual (refer Sections 1.2. 2.2, 3.2 & 4.2)



C.	Providing information about moderation of assessment processes to students and staff	Course and Unit Coordinators	1.	As identified within each type of assessment moderation in the Moderation of  Assessment Manual (refer Section 2, 3 & 4)
D.	Providing induction and training activities for all roles involved in the assessment moderation process, where appropriate	Executive Dean (or nominee)  Dean (or nominee)  Director, Learning and Teaching	1.	As per UniversityPolicy and utilising the Moderation of Assessment Manual Attend specific workshops (e.g. AcademicInductionCourse, Institute/School Induction Course, fdlGrades training)
E.	Providing guidance as to which type of moderation is to be undertaken on which assessment tasks, as per agreed quality assurance targets.	Executive Dean (or nominee)  Director, Learning and Teaching	1.	As identified within the  Moderation of Assessment  Manual (refer Section 1.2)
F.	Providing guidance on technical aspects of assessment moderation	Executive Dean  Dean  Director, Learning and Teaching  Unit Coordinator	1.	As identified within the Moderation of Assessment Manual (refer Section 1.2)
G.	Developing procedures for resolving disagreements between roles within a moderation process	Executive Dean (or nominee)  Dean  Director, Learning and Teaching	1.	As identified within the  Moderation of Assessment  Manual (refer Section 1.2)
H.	Articulating requirements for recording decisions, reporting results and maintaining records	Unit Coordinator	1.	As identified within the  Moderation of Assessment  Manual (refer Sections 1.2, 2.2, 2.3 & 2.4)
I.	Ensuring that the Moderation of Assessment Manual is up to date and approved for distribution to all stakeholders involved in the process	Associate Deputy Vice-Chancellor (Academic Quality and Accreditation)  Learning and Teaching Quality Committee  Global Professional School	1.	Access the current version of the Moderation of Assessment Manual.
J.	Ensuring all Unit Descriptions for units to be offered during the year include the requisite information on learning outcomes and assessment, including moderation of marking model used, and are	Executive Dean (or nominee)  Dean  Unit and Course Coordinators	1.	Check requisite information in Unit Description form (docx, 245kb).  Check appropriate approvals are in place



approved for distribute	on to	3.	As per Moderation of
students			Assessment Manual (refer
			Section 3.2 & 5.2)

# 2. Sound design of assessment

	ACTIVITY	RESPONSIBILITY	STEPS
Α.	Designing assessment tasks	Unit Coordinator	Sound assessment design needs to consider the following elements:
			1. Alignment
			a. Alignment with the unit learning outcomes and learning activities, specified in terms of capabilities, behaviours, knowledge, skills, application of knowledge, skills, values, and Discipline and/or University desired FedTASKs, as described in Section 14. Refer to Supplementary Guidelines (Learning Outcomes and Assessment) (docx 480.5kb) for additional guidance.  b. Sequence and scaffold learning logically so that knowledge builds upon previous tasks and
			assessment task.  2. Validity
			a. The choice of task for each learning outcome should be considered bes practice and evidence-based.
			b. Designed to provide evidence of student attainment of the learning outcomes to enable valid judgement of attainment against learning objectives. Refer to Supplementary Guidelines (Learning Outcomes and



- Assessment) (docx 480.5kb) for additional guidance.
- c. Consider content and face validity in the context of the mode of delivery (e.g. online, face to face, flexible).
- d. Ensure that assessment has not been inappropriately used to measure engagement in learning, as opposed to demonstrating learning (i.e. hurdle tasks, attendance, online logs, participation). Refer to Supplementary Guidelines (Learning Outcomes and Assessment) (docx 480.5kb) for additional guidance.

#### 3. Authenticity

- a. Challenges students to extend their knowledge, understanding and stimulate their interest within the context of the unit, yearlevel and course.
- Where relevant, correlates to the knowledge, skills and values required for a career after leaving the university – i.e.: real world relevance.
- c. Is feasible in relation to student workloads for unit credit points
- d. Is ethically sound as per Discipline, Institute/School and University codes of conduct and workintegrated learning agreements.

#### 4. Transparency

 a. Provides students with an understanding of the link to learning outcomes and explicit expectations for successful completion.



 Assessment requirements communicated to students as per Action 6.
 Communicating assessment requirements to students

#### 5. Inclusiveness

- a. Accounts for a student's current knowledge, experience, academic literacy and digital literacy needs for successful completion of the task
- b. Tasks are fair and can be undertaken by a range of students with diverse background and/or additional learning needs to minimise unfair discrimination and protected attributes.
- Equitable across campuses/modes of offering

#### 6. Veracity

- a. Consider the need for invigilation in assessment to maintain veracity of tasks, as per the <u>Higher Education Examinations</u>
   <u>Procedure LT1940</u>.
- b. Undertake specific steps to maintain academic integrity of submissions and prevent the likes of contract cheating, academic misconduct, collusion and plagiarism, as per the <u>Academic Integrity Procedure</u>

  LT1944.
- c. Consider the implications of the use of social media, and/or technologies not supported by the University in maintaining assessment veracity.

#### 7. Feedback

 a. Opportunities to provide formative feedback, summative feedback, or

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- both, for all assessment tasks.
- Provided in a way that allows for effective understanding of progress, achievement of learning outcomes and support future assessment tasks.
- Provided within three weeks of student submission, where possible.

#### 8. Reliability

- The assessment criteria clearly differentiate and articulate the evaluation components and performance levels required to achieve varying levels of learning
- Moderation of assessment and marking processes is undertaken to ensure fair, just and consistent assessment practices.

#### 9. Manageability

- a. Students are able to complete the tasks within the time allocation prescribed, as per the learning hours/credit points assigned to the unit. See <u>Supplementary Guidelines (Student Workload Guide)</u> (PDF 310.4kb) for additional guidance.
- Assessors able to moderate and mark the tasks within the allocated staff workload hours as per the Academic Workload Guide that applies at the time.

#### 10. Evaluation

 Consideration given to how to evaluate, measure and/or review both the student and facilitator use of the assessment task(s)



				in achieving alignment to the learning outcome(s).  b. Utilise feedback from a range of sources, such as student surveys, unit coordinators reports, and ratification processes.
B.	Aligning with course learning outcomes	Course Coordinator	:	Ensure unit learning outcomes, tasks and assessment are aligned and sequenced to the broader objectives and structure of the course, and the Universities FedTASKs (See section 14).
				Unit assessment must consider and contribute to the achievement of AQFstandards required of the course, as approved by Academic Board.
				Ensure each course has an appropriate variety of types of diagnostic, integrated, summative and formative assessments
			:	Unit assessment must consider type and timing of submission with other unit assessments and workloads, studied within the same semester.
				Ensure that the specification of assessment tasks for a new unit, or changes to assessment tasks in an existing unit, are:
				<ul> <li>reviewed by at least one other academic within the discipline responsible for the unit or a cognate area</li> </ul>
				<ul> <li>discussed with peers within the discipline base or other appropriate staff including the Course Coordinator, the Director, Learning and Teaching, Global Professional School (GPS) and/or the staff of the Centre for Academic Innovation (CAI)</li> </ul>

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				Consider any relevant accreditation requirements.  Proposed changes to curriculum require the completion of appropriate Course and/or Unit Modification documentation for presentation to Institute/ School Board prior to submission for approval and/or noting at the University level Curriculum Committee.
	gning with unit learning icomes	Unit Coordinator	<ol> <li>3.</li> <li>4.</li> </ol>	Ensure assessment is aligned to unit intended learning outcomes, and unit learning activities  Ensure each unit has an appropriate variety of types of summative and formative assessments  Schedule opportunities to give constructive meaningful feedback, comparing drafts/ progress to expected standards  Where feasible, a small low weighted assessment task that provides summative feedback is completed within the first four weeks of teaching. This forms the basis of identifying any early interventions if a student does not perform satisfactorily.  Ensure that all students have access to the appropriate supports and/or resources to undertake the assessment.  For example, opportunities for students to build digital literacies and competency with technologies to enable the successful completion of online assessments. Scaffold academic skills and other relevant skills and provide access to further support materials and/or services.

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D.	Developing staff competence in the design and implementation of assessment	Executive Dean/Dean (or nominee)	1.	Arrange access to appropriate training through formal qualifications or professional development courses. Collate evidence of progress in this area through Performance Review Development Course.
			2.	Encourage staff to seek assistance from CAI staff and Director, Learning and Teaching.
			3.	Meet criteria in the University's Learning and Teaching Plan, and Teaching Expectations for Academic Staff, for quality teaching and assessment practices.

# 3. Moderation of assessment design (type I)

This type of assessment moderation ensures independent review of all elements of assessment design and subsequent supports for student success. Completed PRIOR to unit delivery, it focuses on providing checks on, and support for, the preparation of evidence-based best practice assessment tasks.

	ACTIVITY	RESPONSIBILITY	STEPS
Α.	Preparing and submitting items for independent review	Unit Coordinator and academic staff responsible for setting assessment tasks	As outlined in Type I - Steps 1     - 3 of the Moderation of     Assessment Manual (refer Section 2)
B.	Reviewing and providing feedback on proposed assessment tasks	Unit Reviewer	As outlined in Type I - Step 4     of the Moderation of     Assessment Manual (refer Section 2)
			2. Peer Review and Benchmarking Manualcan be followed to facilitate both reporting and record-keeping of the moderation of assessment design
C.	Resolving any disputes arising from the moderation process	Director, Learning and Teaching, Executive Dean/Dean or nominee, academic staff members and Unit Coordinator and Unit Reviewer	As outlined in Type I - Step 5 of the Moderation of Assessment Manual (refer Section 2)
D.	Releasing assessment tasks for use	Unit Coordinator	As outlined in Type I - Step 5 of the Moderation of

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				Assessment Manual (refer Section 2)
E.	Recording the outcomes of the moderation of assessment design process	Unit Coordinator	1.	As outlined in Type I - Step 5 of the Moderation of Assessment Manual (refer Section 2)

#### 4. Formalising assessment requirements

	ACTIVITY	RESPONSIBILITY	STEPS
Α.	Developing templates for Unit Outline and Unit Description	Curriculum Committee	1. Ensure approved electronic templates are provided for the Unit Outline and Unit Description via the University website under Course Approvals and Reviews   Academic Board, and via relevant online document management systems where practicable.
B.	Preparing and approving assessment within Unit Outlines	Course and Unit Coordinator	<ol> <li>As a governing document, the Unit Outline must provide a brief description of:         <ul> <li>Learning Task</li> <li>Assessment Type</li> <li>Learning Outcomes Assessed</li> <li>Weighting (range)</li> <li>Model of marking moderation used</li> <li>Adopted Reference Style</li> <li>Supplementary Assessment</li> <li>Work integrated Learning (if appropriate)</li> <li>FedTASKs</li> </ul> </li> <li>Modifications to the assessment within Unit Outlines must adhere to Action 2: Sound design of assessment and requires approval from the relevant Institute/School Board, and must be noted by the Curriculum Committee and Academic Board.</li> </ol>
			Approved changes will be documented in the University



			4.	approved document management system Approved Unit Outlines are loaded to and accessible from
				the University's website, and relevant online document management systems.
C.	Preparing and approving assessment within Unit Description	Unit Coordinator	1.	As an operational document, the Unit Description must provide a description of:
				<ul> <li>Learning Task (including purpose and task description/instructions)</li> </ul>
				<ul> <li>Assessment Type (including any specific learning technology tools)</li> </ul>
				Learning Outcomes     Assessed
				Mode of submission
				Due date and time
				Weighting
				<ul> <li>Word length (or equivalent if using creative work, presentation, video or audio tools)</li> </ul>
				Approximate time to allocate for completion
				Referencing Style if applicable
				<ul> <li>Minimum number of references</li> </ul>
			2.	The information in the Unit Description must align with the information in the corresponding approved Unit Outline.
			3.	If applicable, include any enhancements to assessment tasks identified from previous iterations, in the Unit Description 'Closing the Loop' section, as per Step 5: Evidence of Corrective Action in the Student Evaluation of Learning and Teaching Procedure (Higher Education) CG1703
			4.	Peer review process undertaken as per Model 2:

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				Unit Description Peer Enhancement in the Peer Review and Benchmarking Manual.
D.	Publishing assessment requirements	Unit Coordinator	1.	Provide the approved and published version of the Unit Description to the University's LMS site for the unit, two weeks prior to the commencement of the teaching semester.
			2.	If such has not been included in the Unit Description, the following must also be provided to students, via the University's LMS, based on the appropriate release of assessment as outlined in the Supplementary Guidelines (Student Workload Guide) (PDF 310.4kb) such as:
				<ul><li>Assessment criteria</li><li>How and when feedback</li></ul>
				and marks will be provided
				<ul> <li>Resources and/or services to support successful completion of the task</li> </ul>

## 5. Communicating assessment requirements to students

	ACTIVITY	RESPONSIBILITY	STEPS
A	Communicating assessment requirements to students	Course Coordinator/Third-party providers	All details for successful completion of assessment tasks to be available to students as per Action 4:     Formalising assessment requirements - Point D
			2. Additional and repeated forms of communication should be considered such as emails, broadcast via the LMS, online forums via the LMS, hardcopy formats, direct emails to students, and reminders during scheduled lectures, laboratories and tutorials.
			Students should also be informed about where and how to access any additional

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		resources and supports to enable their successful completion of tasks. e.g.: online resources on how to use video or eportfolio's, Library resources on referencing or support workshops
	4.	Reasonable adjustment needs to be made when communicating to students with protected attributes, as per the <i>Equal Opportunity and Valuing Diversity Guidelines CG1445</i> .

## 6. Implementing Assessment

	ACTIVITY	RESPONSIBILITY	STEPS
Α.	Ensuring academic integrity	Unit Coordinator/Third-party provider	1. Educate students about required standards of academic integrity and how to adequately and consistently correctly research, present and reference their work for an Australian educational environment.
			2. The Universitywill use text matching and authorship verification software where available for assessments. Students must agree to the use of such software when submitting their assessments. The academic receiving the assessment must ensure all student submissions are treated equally, and as such, if the student has not agreed to the use of text matching and authorship verification software where it is required, such software must be used prior to marking.
B.	Assessing students with disability or special circumstances	Course/Unit Coordinator/Third- party provider	Provide access to reasonable adjustments in collaboration with the DLAU
			2. Refer to Student Access, Progression and Wellbeing Procedure SS1965 and



			associatedprocedures and forms, in particular:  • Higher Education Special Consideration Procedure SS1967  • Disability Learning Access Plan (LAP) Procedure SS1966  • Elite Athlete, Coach and Performer Course Procedure SS1993  • Higher Education Examinations Procedure LT1940  • Higher Education Deferral or Leave from Studies Procedure SS1968
C.	Eligibility for supplementary assessment	Course/Unit Coordinator/Third-party provider	<ol> <li>Refer Student Access,         Progression and Wellbeing         Procedure SS1965 and         associated procedures and         forms, in particular:         <ul> <li>Higher Education Special</li></ul></li></ol>

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			The highest grade attainable will be a P  3. If an international student has returned to their country of origin and then applies for a supplementary assessment, the Institute/School will determine the appropriateness of the type of assessment and whether it is feasible to be completed in the student's own country
D.	Approving supplementary assessment	Executive Dean or nominee, such as Course Coordinator	Approve the proposed supplementary assessment task/s
E.	Notifying student of their opportunity to complete supplementary assessment	Student HQ Global Professional School	<ol> <li>Immediately upon the release of end of semester grades, send an email (with a copy to the Unit Coordinator and Examinations) to the student's University address notifying them of the opportunity to complete a supplementary assessment:         <ul> <li>Detailing the type of assessment (i.e. examination, invigilated test or non-invigilated assessment)</li> <li>For non-invigilated assessment (e.g. essay) and the contact details of their Unit/Academic Coordinator</li> <li>For examinations, advise that further details will be emailed by the Examinations Office. Students must be given a minimum of five (5) working daysnotice for a supplementary examination.</li> <li>For invigilated tests, the contact details of their Unit/Academic Coordinator, who will provide the time and place of the test. Students must be given a minimum of</li> </ul> </li> </ol>

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			2.	five (5) working days notice for a supplementary test.  In the case of a non-invigilated assessment, students must contact the Unit/Academic Coordinator within 7 days of the notification of eligibility for supplementary assessment.  The designated due date would normally be before the close of the official deferred examination period.
F.	Conducting supplementary assessment	Institute/School/Third-party provider/Student	1.	If a student cannot complete a supplementary assessment in the normal time-period specified above, an outcome will be negotiated that both reflects natural justice and is reasonable to the student, while being consistent with all other University procedures.
			2.	In the case of an examination, an Institute/School may choose to schedule a supplementary examination at a mutually convenient time, or defer it until the end of the next semester in which that unit runs.
			3.	All extensions of time for the completion of supplementary assessment must be in accordance with the <i>Higher Education Special Consideration Procedure</i> SS1967 and use the appropriate form. Wherever possible, supplementary examinations will be completed in the published deferred examination period.
			4.	Results must be lodged within ten (10) working days of the submission or completion of the supplementary task. Refer Higher Education Special Consideration Procedure SS1967 and associated forms

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# 7. Ensuring security of student submitted assessment materials

	ACTIVITY	RESPONSIBILITY	STEPS
Α.	Collecting and recording submitted assessment tasks	Unit Coordinator/Third-party provider	Arrange for the collection and recording of assessment tasks submitted by students utilising the University's approved Learning Management System
B.	Ensuring security of submitted assessment tasks	Academic staff/Third-party provider/Executive Dean/Dean (or nominee)	1. Following Universitypolicy, securely store assessment tasks submitted by students while they are being assessed and prior to their return to the student. This process should be managed virtually utilising the University's approved Learning Management System, wherever possible, in accordance with the University's approved records management procedures
			2. If a breach of the secure storage of an assessment task occurs, the Academic staff member must contact the Executive Dean/Dean immediately, and appropriate action will be undertaken.
C.	Protecting confidentiality of students	Unit Coordinator/Third-party provider	If the need arises for the Unit Coordinator to discuss the work of a student with staff or other students, the discussion does not identify the author either directly or indirectly
			If work is to be used as an exemplar, permission of the student must be obtained prior to use
D.	Returning assessment tasks	Academic staff/Third-party provider	Return all assessment tasks submitted by students to the original author/creator
			2. Assessment tasks are not to be collected by friends or colleagues unless authorised in writing by the author/creator of the work
			Where appropriate, this process should be managed



				virtually, utilising the University's approved Learning Management System.
E.	Returning assessment feedback	Academic staff/Third-party provider	2.	Return all assessment feedback to the original author/creator.  Where appropriate, this process should be managed virtually, utilising the University's approved
				Learning Management System.

## 8. Moderation of assessment marking (type II)

This type of assessment moderation ensures all marking of assessment tasks are fair, consistent and accurate in line with published assessment criteria, regardless of the type of assessment, number of markers or delivery location.

	ACTIVITY	RESPONSIBILITY	STEPS
A.	Model or marking moderation	Unit Coordinator	<ol> <li>As outlined in Type II - Steps         1 - 2 of the Moderation of         Assessment Manual (refer Section 3)         <ul> <li>Select and communicate to students the model or models of marking moderation used to maintain fairness, consistency and accuracy of the marking process</li> <li>Note any contractual obligations of third-party vendors on choice of model</li> </ul> </li> </ol>
B.	Marking and grading assessment is based on criterion referencing	Academic/Third-party provider marking submitted assessment tasks	<ol> <li>Identify any issues with the marking guide/rubric/ expectations to assist in developing consistent responses/grades</li> <li>If applicable, mark selected assessment tasks for discussion with colleagues to assist in developing consistent</li> </ol>
			responses/grades  3. Assess student performance against attainment of intended learning outcomes or graded

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			against the level of attainment of intended learning outcomes using explicit, pre-specified, and/or negotiated criteria (rather than assessed relative to the performance of other students in a cohort)
C.	Moderating marks and grades	Unit Moderators	As outlined in Type II - Steps     3 – 5 of the Moderation of     Assessment Manual (Section 3)     Undertake selected model(s) of marking as per process outlined
D.	Requesting second marking	Unit Coordinator/Third-party provider	<ol> <li>During a teaching period, a student can request a second marking for an assessment task by providing a written justification referring to the specific marking criteria they are querying. The request should generally be considered positively when the grade for the assessment task differs substantially from the grades received for other assessment tasks- refer Federation University</li></ol>
E.	Handling illness or other condition which prevents a student from completing an assessment or examination	Invigilator/Academic	If a student commences but is unable to finish an assessment task or examination due to illness or other eligible cause they must be advised to inform the academic or exam invigilator



			2.	Academics supervising assessment tasks will inform the Unit Coordinator of the circumstances of the terminated assessment. Exam invigilators will note the time of exit from the exam and reason associated
			3.	If the Institute/School has enough evidence to make an appropriate decision regarding grade assessment, this may be granted on approval of the Executive Dean/Dean.
			4.	Otherwise, refer to the Student Access, Progression and Wellbeing Procedure SS1965 and associated procedures and forms in relation to Special consideration - deferred examination
F.	Assessing supplementary assessments	Unit Coordinator/Third-party provider	1.	Assess the supplementary assessment task. For all supplementary assessment granted, only the grades of pass and fail (P and MF) will be awarded for the unit involved
			2.	Report supplementary assessment results in a timely manner to avoid disadvantage to the student
G.	Recording assessment results	Institute/School/Third-party provider	1.	Record results in the University's approved record management system
H.	Recording outcomes of the moderation of assessment marking process	Unit Moderators, Unit Coordinator	1.	As outline in Type II - Step 6 of the Moderation of Assessment Manual (refer Section 3)

# 9. Approving and reporting of moderated marking results

	ACTIVITY	RESPONSIBILITY	STEPS
Α.	Confirming and approving students' results	Institute/School Board	Executive Dean/Dean/     nominee will submit a report of provisional results to the Board in the approved format.

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В.	Recording and releasing final results	Executive Officer in conjunction with the Executive Dean/Dean and Student HQ	1.	Marks will be entered into the currently endorsed University records management system for grading in accordance with the Moderation of Assessment Manual
			2.	Marks will be made accessible to students via the University's approved records management system.

## 10. Providing students with feedback on assessment

	ACTIVITY	RESPONSIBILITY	STEPS
A.	Providing feedback	Academic staff/Third-party provider teaching a unit	1. Except for exams, provide feedback to students on submitted assessable tasks, both formative and summative, within three weeks of submission/the due date where possible, with sufficient information to allow the student to determine how their work could be improved. This might include identifying areas that require further study and any other strategies that may assist the student in the learning process.  Provisional (unmoderated) marks and/or grades awarded may be disclosed to students via the University grades management or Learning Management System.
B.	Discussing assessment attempts with students	Academic staff/Third-party provider teaching a unit	Allocate time for students to meet with academic staff to discuss their studies and assessable tasks. The option to discuss feedback should be made as an explicit invitation as part of returning a marked assessment.
C.	Appealing against an assessment outcome	Executive Dean/Dean (or nominee)	A student may appeal, in accordance with the procedure specified in the Regulations, to the Executive Dean/Dean of the relevant Institute/School, against any

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				final grade awarded to the student in a unit
			2.	Students wishing to appeal a final grade must refer to the Student Appeal Procedure CG1464 with reference to the appropriate Statute/Regulation
D	Notifying student of their opportunity to complete supplementary assessment	Institute/School/Third-party provider	1.	Advise any student eligible for supplementary assessment of their eligibility, in accordance with Action 6   Steps C and E.

#### 11. Moderation of assessment practice (type III)

This type of assessment moderation evaluates the success of the assessment tasks, unit learning plan, and facilitation practices undertaken in enabling and demonstrating student learning. Completed POST unit delivery, this process provides a vehicle for continuous improvement through acknowledgment of successes and challenges, and provision of recommendations to mitigate challenges for future assessment and unit learning plan design, development and delivery.

	ACTIVITY	RESPONSIBILITY	STEPS
A.	Review any outcomes from undertaking moderation of assessment design (type I) and/or moderation of assessment marking (type II)	Unit Coordinator	As outlined in Type III - Steps     1 – 2 of the Moderation of     Assessment Manual (Section 4)
B.	Provide recommendations for future enhancements to assessment design, assessment marking model or process	Unit Reviewer	As outlined in Type III - Step 3     – 5 of the Moderation of     Assessment Manual (Section 4)
C.	Maintaining records of the outcomes of the moderation of assessment practice process	Unit Coordinator	As outlined in Type III - Steps     6 – 7 of the Moderation of     Assessment Manual (Section 4)

## 12. Ratifying results, posting of grades and managing appeals

	ACTIVITY	RESPONSIBILITY	STEPS
A.	Preparing for ratification	Executive Dean/Dean or nominee	Results will be entered into the currently endorsed Universityrecords management system. All final grades will be reported as whole numbers, as such

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				appropriate rounding to a whole number will occur.
			2.	Ratification preparation occurs at an Institute/School level whereby all unit results are presented and discussed to determine if any modifications/ changes are required.
			3.	The Federation UniversityUnit Coordinator Report must be completed and presented at all ratification meetings. This report provides evidence of learning and teaching quality assurance at the unit level and allows the Unit Coordinator to reflect at the conclusion of the teaching semester.
			4.	Once satisfied, ratification will progress to the Ratification and Assessment Committee (Institute level) and subsequently to the Institute/ School Board.
B.	Ratifying results	Executive Dean or nominee (such as Course Coordinators)	1.	Institutes/Schools will review robustly and objectively through a documented discussion
			2.	The review of the student file will ensure:
				<ul> <li>Assessment evidence matches duration of course/ unit (start and end dates)</li> </ul>
				<ul> <li>Valid and authentic completed assessment tasks as listed in the course/ unit outline meet the principles of assessment and rules of evidence</li> </ul>
				<ul> <li>There is appropriate and adequate feedback to the student against each assessment task</li> </ul>
				There is sufficient evidence of the recording of results at task, unit and course level
C.	Approving ratification	Ratification and Assessment Committee	1.	All assessment ratification must be approved by the

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		Instituto/Cohool Doord	Instituto/Cohool Board hafer-
		Institute/School Board	Institute/School Board before results can be published
		Director, Learning and Teaching	Results are submitted to the Board
			3. Board approves results in the form relevant to each course.
D.	Posting Grades	Manager, Student HQ or nominee	In the week of results'     publication, create grade     roster in approved student     management system
			2. Send email to Institute/School Coordinator of Courses advising of the date for results publication and the date and time that files need to be forwarded to the service desk for uploading into student management system
			Upload grades into the student management system on the business day prior to results' publication day
			Undertake agreed process to identify any missing grades
			5. Conduct follow up process with Institute/School to resolve any issues
			6. On the morning of results' publication, post approved grades in student management system
E.	Dealing with assessment appeals in a timely manner	Executive Dean/Dean or nominee	In accordance with the Student Appeal Procedure     CG1464, an appeal against a final grade must be submitted in writing and lodged within 10 working days of the publication of the final grade or result
			2. Following due consideration (within 30 days) the student will be provided with a written response to the appeal, including reasons for the decision - Refer Federation University Australia Statute - Part 6 - Division 3 - Academic Progress, read in conjunction with Federation University Australia (Students)

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	Regulation 2022 - Division 5 - Assessment
	<ol> <li>The Institute/School must notify Student HQ of any amended results following an appeal</li> </ol>
	4. If the student is not satisfied with the decision, they can submit an appeal to the Student Appeals Committee in accordance with <u>Federation University Australia (Students)</u> <u>Regulations 2022 - Division 7 - Appeals</u>

## 13. Continuous improvement

The following actions refer to the continuous improvement of all elements of assessment through internal and external benchmarking, and monitoring and acting upon moderation outcomes

	ACTIVITY	RESPONSIBILITY	STEPS	
Α.	Collating an Annual Moderation Report	Executive Dean/Dean/nominee  Associate Deputy Vice-Chancellor (Academic Quality and Accreditation)  Director, Learning and Teaching	The Annual Report should, at a minimum, cover the conduct and outcomes of the moderation of assessment types undertaken, including any comments or advice from Unit Coordinators, Reviewers and Moderators	
В.	Consider the Annual Moderation Report	Institute Learning and Teaching Committee	<ol> <li>Submit report to designated committee in agreed format</li> <li>The Committee will monitor assessment practices and outcomes and consider if any improvements or amendments to its practices may be required</li> <li>Refer Actions Arising from committee meeting's minutes to the Institute/School Board for noting</li> </ol>	
C.	Contributing to the Institute/ School's annual report on assessment and moderation	Executive Dean/Dean/nominee Director, Learning and Teaching	Collate relevant information into report for Academic     Board / Learning and     Teaching Quality Committee	
D.	Monitoring the conduct and outcomes of all/any moderation types across the University	Executive Dean/Dean/ Learning and Teaching Quality Committee	A consolidated report from each Exec. Dean/Dean on the conduct and outcomes of	



			moderation will be sent to Learning and Teaching Quality Committee  2. Following due consideration of moderation outcomes by the Learning and Teaching Quality Committee any key factors arising are identified and noted  3. The Committee will make recommendations for necessary changes to assessment policy or procedure that arise from moderation reports
E.	Benchmarking of assessment	Director, Learning and Teaching or nominee	1. Both internal and external benchmarking are considered critical components of the overall assessment cycle and as such, benchmarking activities should be well documented to capture a rigorous, evidence-based practice across the University to demonstrate quality processes that meet audit requirements
			Determine which areas to benchmark
			Identify benchmarking partners
			Determine types and level of benchmarking
			5. Prepare benchmarking documents and templates including the purpose, scope of project, performance indicators, performance measures and performance data
			6. Design benchmarking process
			7. Implement benchmarking process
			8. Review results
			Communicate results and recommendations
			Implement improvement strategies

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F.	Undertaking external/internal benchmarking process	Director, Learning and Teaching or nominee		Subject samples of assessment tools and instruments, and assessment decisions to undergo an external benchmarking process to ensure a quality consistent with sector standards and procedures
			1	Document the results within the University's approvedrecords management system
			1	Report the results of benchmarking to the Institute/ School Board
				Internal benchmarking against other relevant units offered by Federation University Australia should also be undertaken
			1	Document the results within the University's approved records management system
				Report the results of benchmarking to the Institute/ School Board
			1 1	For further information regarding peer review and benchmarking options at Federation University, refer to the Peer Review and Benchmarking Manual located at the following SharePoint site: Link.
G.	Following up on continuous improvement changes identified on assessment tasks	Director, Learning and Teaching	I	Drawing on moderation, benchmarking and ratification reports, identify areas for continuous improvement
			2. i	Implement continuous improvement on assessment tasks
			1	Review implemented after 12 months to confirm their success

## 14. Federation TASKs (Transferable Attributes Skills and Knowledge)

The Federation TASKs (FedTASKs) are an important part of the University's response to becoming a Co-Operative Learning institution.

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Mapping of FedTASKs can occur at the unit and course level through university approval record management system (for example fdl). It is important to look at the holistic experience of the student and the skills being developed as they progress with their learning journey. Not all FedTASKs will be relevant at every unit and therefore, staff are encouraged to discuss this initiative with peers and your Director, Learning and Teaching as you align unit learning outcomes and assessment with FedTASKs. These FedTASKs may also align with accreditation expectations and other mapping activities.

Note: Further FedTASKs descriptors are currently under development. These descriptors will be further expanded to other AQF levels. This information will be made available at a designated website focused on FedTASKs and the Co-Operative Learning Model.

For further support and direction, please see the following resources:

https://federation.edu.au/staff/learning-and-teaching/curriculum-quality/curriculum-frameworks-and-processes/ fedtask

FedTASK	Descriptor (AQF Level 7)
FedTASK 1 Interpersonal	<ul> <li>Students will demonstrate the ability to effectively communicate, interact and work with others both individually and in groups.</li> <li>Students will be required to display skills in-person and online in: <ul> <li>Using effective verbal and non-verbal communication</li> <li>Listening for meaning and able to influence via active listening</li> <li>Showing empathy for others</li> <li>Negotiating and conflict resolution skills</li> <li>Working respectfully in cross-cultural and diverse teams</li> </ul> </li> </ul>
FedTASK 2 Leadership	<ul> <li>Students will demonstrate the ability to apply professional skills and behaviours in leading others.</li> <li>Students will be required to display skills in:</li> <li>Creating a collegial environment</li> <li>Showing self-awareness and the ability to self-reflect</li> <li>Inspiring and convincing others</li> <li>Making informed decisions</li> <li>Displaying initiative</li> </ul>
FedTASK 3 Critical Thinking and Creativity	<ul> <li>Students will demonstrate an ability to work in complexity and ambiguity using the imagination or original ideas to create new ideas.</li> <li>Students will be required to display skills in:</li> <li>Reflecting critically</li> <li>Evaluating ideas, concepts and information</li> <li>Considering alternative perspectives to refine ideas</li> <li>Challenging conventional thinking to clarify concepts</li> <li>Forming creative solutions in problem solving</li> </ul>
FedTASK 4 Digital Literacy	<ul> <li>Students will demonstrate the ability to work fluently across a range of tools, platforms and applications to achieve a range of tasks.</li> <li>Students will be required to display skills in:</li> <li>Finding, evaluating, managing, curating, organising and sharing digital information</li> </ul>

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	<ul> <li>Collating, managing, accessing and using digital data securely</li> <li>Receiving and responding to messages in a range of digital media</li> <li>Contributing actively to digital teams and working groups</li> <li>Participating in and benefiting from digital learning opportunities</li> </ul>
FedTASK 5 Sustainable and Ethical Mindset	<ul> <li>Students will demonstrate the ability to consider and assess the consequences and impact of ideas and actions in enacting ethical and sustainable decisions.</li> <li>Students will be required to display skills in: <ul> <li>Making informed judgements that consider the impact of devising solutions in global, economic, environmental and societal contexts</li> <li>Committing to social responsibility as a professional and a citizen</li> <li>Evaluating ethical, socially responsible and/or sustainable challenges and generate and articulate responses</li> <li>Embracing life-long, life-wide, and life-deep learning to be open to diverse others</li> <li>Implementing required actions to foster sustainability in their professional and personal life</li> </ul> </li> </ul>

### Responsibility

- Provost (as Approval Authority) is responsible for monitoring the implementation, outcomes and scheduled review of this procedure and will receive annual reports as part of the annual CoursePerformance Report from each Institute/School.
- Associate Deputy Vice-Chancellor (Academic Quality and Accreditation) (as Document Owner) is responsible for maintaining the content of this procedure as delegated by the Approval Authority.
- Executive Officer, Learning and Teaching Quality Committee is responsible for the administration support for the
  maintenance of this procedure as directed by the Associate Deputy Vice-Chancellor (Academic Quality and
  Accreditation).
- Executive Deans/Deans of institutes/schools are responsible for oversight of the operational implementation of this procedure.

## **Promulgation**

The Higher Education Assessment Procedure will be communicated throughout the University community in the form of:

- A FedNews announcement and on the 'Recently Approved Documents' page on the University's Policy Central website
- Learning and Teaching Quality Committee
- Institute/School meetings
- Academic Induction Program
- Third-party provider communication and training

### **Implementation**

The Higher Education Assessment Procedure will be implemented throughout the University via:

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- Information Sessions; and/or
- Training Sessions

# **Records Management**

Document Title	Location	Responsible Officer	Minimum Retention Period
Unit Description	Institute/School Administrative Office	Institute/School Administrative Officer	While the Unit is continued to be offered
Unit Outline	Institute/School Administrative Office	Institute/School Administrative Officer	While the Unit is continued to be offered
Unit Handbook Entry	Institute/School Administrative Office	Institute/School Administrative Officer	While the Unit is continued to be offered
Assessment Criteria and Associated Marks and Student Feedback for each Student for each Unit	University's approved learning management system	Unit Coordinator	Destroy <b>18 months</b> after the Appeals Period for that semester has ended
			Dispose of hard copy records as per disposal process in the Records Management procedure
Assessment Benchmarking/ Validation Schedule	dation Schedule approved records Courses of the	Destroy 3 years from date of last action	
	management system	Institute/School/ Centre teaching the unit	Dispose of hard copy records as per disposal process in the Records Management procedure
Final Assessment Results	University's approved student management system	Coordinator - Courses of the Institute/School teaching the unit	Permanent
Moderation of Assessment Schedule and Record	edule and Record approved student Courses of the	Destroy 3 years from date of last action	
management syste	management system	Institute/School teaching the unit	Dispose of hard copy records as per disposal process in Records Management procedure
Moderation of Assessment Manual	University's approved records management system	CAI/GPS	Permanent



Record of Assessment	University's approved records management system	Coordinator - Courses of the Institute/School teaching the unit	Permanent
Re-issue of Statement of Results	University's approved records management system	Coordinator - Courses of the Institute/School teaching the unit	Destroy 1 year from date application made  Dispose of hard copy records as per disposal process in the Records Management procedure
Student Assessment materials	Relevant online business system	Coordinator - Courses of the Institute/School teaching the unit	Destroy 2 years after administrative use has concluded.  Dispose of hard copy records as per disposal process in Records Management procedure
Validation of Assessment Schedule & Record	Relevant online business system (electronic) or School (hard copy)	Coordinator - Courses of the Institute/School teaching the unit	Destroy 3 years from date of last action  Dispose of hard copy records as per disposal process in Records Management procedure