VET Teacher Qualifications and Competency Policy

Policy Code: CG1674

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Purpose

The VET Teacher Qualifications and Competency Policy details the training, assessing and vocational qualifications and competency requirements for staff delivering and assessing the University's Vocational Education and Training (VET) and VCAL Programs.

The University is committed to, and values highly skilled teaching staff. As such the University requires and supports staff to pursue higher levels of teaching and assessing qualifications. In addition to this, the University requires staff to maintain current vocational competence and engagement with industry.

The University strongly encourages VET and VCAL teaching staff to acquire higher level qualifications in teaching at degree level or higher.

The VET Teacher Qualifications and Competency Policy also ensures compliance with regulatory requirements.

Scope

This Policy applies to all VET and VCAL teachers training and/or assessing the University's VET and VCAL programs or courses, regardless of the nature of their engagement and where and how training and assessment occurs. This includes, but is not limited to: permanent, contract and sessional staff, and approved teachers who deliver on our behalf as part of an Auspice or HESG approved sub-contracting arrangement either on or off shore.

This Policy does not apply to Education Manager positions.
**Legislative Context**

- National Vocational Education and Training Regulator Act 2011
- Standards for Registered Training Organisations 2015
- Education and Training Reform Act 2006
- Education and Training Reform Regulations 2007 (Regulations 2007) Schedule 7
- Department of Education and Training Contract (Dual Sector)
- Education Services for Overseas Student Act 2000 (Amended 2007)
- The National Code of Practice for Providers of Education and Training to Overseas Students 2018
- VRQA Guidelines for Non-School Senior Secondary Education Providers: Minimum Standards for Registration to Provide and Accredited Senior Secondary Course 2017 (Vic)
- VRQA Guidelines for VET Providers 2016 (Vic)
- Victorian Certificate of Applied Learning 2017: Guidelines for new providers
- VCAA annual VCE VET Administrative Handbook

**Definitions**

<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
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<tbody>
<tr>
<td>ASQA</td>
<td>The Australian Skills Quality Authority (ASQA) is the national regulator for Australia’s vocational education and training sector. ASQA regulates courses and training providers to ensure nationally approved quality standards are met.</td>
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<tr>
<td>Assessment</td>
<td>Assessment is the process of collecting evidence and making judgments on whether competency has been achieved, to confirm that an individual can perform to the standard required in the workplace, as specified in a Training Package or VET accredited qualifications and is conducted in accordance with the principles of assessment and the rules of evidence.</td>
</tr>
</tbody>
</table>
| Adult education qualification      | An adult education qualification has a focus on training and assessing adults. The qualification does not need to include the words ‘adult education’ in the title; however, units or subjects completed within the qualification need to demonstrate the skills and knowledge required to train adults. The academic transcript or record of results for the qualification will provide the evidence to demonstrate this. Examples of adult education qualifications include:  
  • Graduate Diploma in Adult and Vocational Education and Training  
  • Graduate Diploma of Adult Language, Literacy and Numeracy  
  • Master of Education or Doctoral degree with an adult education focus.  
  • Degrees and Associate Degrees in adult and vocational teaching |
<p>| Competency                        | The consistent application of knowledge and skill to the standard of performance required in the workplace. It embodies the ability to transfer and apply skills and knowledge to new situations and environments. |
| Current industry skills           | Current industry skills are the knowledge, skills and experience required by VET teaching staff and those who provide training and assessment under |</p>
<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
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<tbody>
<tr>
<td>supervision in accordance with the National Standards for RTOs to ensure that their training and assessment is based on current industry practices and meets the needs of industry.</td>
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<tr>
<td>Current industry skills may be informed by consultations with industry and may include, but are not limited to:</td>
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<tr>
<td>a. having knowledge of and/or experience using the latest techniques and processes;</td>
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<td>b. possessing a high level of product knowledge</td>
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<td>c. understanding and knowledge of legislation relevant to the industry and to employment and workplaces;</td>
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<td>d. being customer/client-oriented;</td>
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<tr>
<td>e. possessing formal industry and training qualifications; and</td>
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<tr>
<td>f. training content that reflects current industry practice.</td>
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<tr>
<td>Industry</td>
<td>Refers to bodies that have a stake in the services provided by RTOs. These can include, but are not limited to:</td>
</tr>
<tr>
<td>a) enterprise/industry clients, eg. employers;</td>
<td></td>
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<tr>
<td>b) group training organisations;</td>
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<tr>
<td>c) industry and employer organisations;</td>
<td></td>
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<tr>
<td>d) industry regulators;</td>
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<tr>
<td>e) skills service organisations or similar bodies;</td>
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<tr>
<td>g) unions</td>
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<tr>
<td>Industry engagement</td>
<td>May include but is not limited to, strategies such as:</td>
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<tr>
<td>a) partnering with local employers, regional/national businesses, relevant industry bodies and/or enterprise RTOs;</td>
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<td>b) involving employer nominees in industry advisory committees and/or reference groups;</td>
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<tr>
<td>c) embedding staff within enterprises</td>
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<tr>
<td>d) networking in an ongoing way with industry networks, peak bodies and/or employers;</td>
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<tr>
<td>e) developing networks of relevant employers and industry representatives to participate in assessment validation; and</td>
<td></td>
</tr>
<tr>
<td>f) exchanging knowledge, staff, and/or resources with employers, networks and industry bodies</td>
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</table>
Standards for RTO's 2015

Standards for Registered Training Organisations (RTO’s) 2015. The objectives of the RTO Standards are to ensure nationally consistent, high-quality training and assessment services within Australia’s VET system.

Continual compliance with the Standards for Registered Training Organisations (RTO’s) 2015 is a condition for all RTO’s and for applicants seeking registration under the Act.

Professional Development

Activities that develop and/or maintain an individual's skills, knowledge, expertise and other characteristics as a trainer or assessor. This includes both formal and informal activities that encompass vocational competencies, currency of industry skills and knowledge and practice of vocational training, learning and assessment, including competency based training and assessment. Examples of professional development activities include:

a) participation in courses, workshops, seminars, conferences, or formal learning programs;

b) participation in mentoring, professional associations or other learning networks;

c) personal development through individual research or reading of publications or other relevant information;

d) participation in moderation or validation activities; and

e) participation in industry release programs

Registered Training Organisation (RTO):

Registered Training Organisation. A training organisation listed on the National Register, or by the relevant state based authority, as a registered training organisation. FedUni's RTO number is 4909.

Skill Set

A single unit of competency or a combination of units of competency from a training package which link to a licensing or regulatory requirement, or a defined industry need.

VCAA

The Victorian Curriculum and Assessment Authority.

VCAL

VCAL - Victorian Certificate of Applied Learning – Foundation, Intermediate and Senior. Accredited and monitored by VCAA (Victorian Assessment and Curriculum Authority) and VRQA.

VCAL Teacher

For the purpose of this Policy, a VCAL Teacher is any person engaged to teach or assess within the VCAL program.

VET Teacher:

For the purpose of this Policy, a VET Teacher is any person engaged to teach or assess the University VET (vocational education and training) programs.

VIT

Victorian Institute of Teaching: : [https://www.vit.vic.edu.au/](https://www.vit.vic.edu.au/)

Vocational Competency:

Vocational competency is broad industry knowledge and experience, usually combined with a relevant industry qualification. Vocational competency must be considered on an industry-by-industry basis and with
Term

| reference to the guidance provided in the Assessment Guidelines of the relevant Training Package. |

| VRQA | The Victorian Registration and Qualifications Authority (VRQA) is the Victorian statutory authority responsible for ensuring that employers of apprentices and trainees and providers of education and training (including course and qualification owners) meet quality standards, and that information is readily available to support informed choice in education and training.

The VRQA:
• registers certain education and training providers and awarding bodies
• registers certain qualifications and accredits courses
• regulates apprenticeships and traineeships in Victoria. |

| Skills FirstTeacher - applicable to government funded students only | A Skills First Teacher is an individual who is a trainer and/or assessor who:

a. is employed:
   i. directly by the RTO;
   ii. engaged by the RTO as a sole trader; or
   iii. engaged by the RTO through a subcontracting arrangement

b. is listed on the Register of Trainers and Assessors; and

c. has relevant training and vocational competency |

Policy Statement

Federation University is committed to providing quality training and assessment for its students, recognising the fundamental relationship between trainer and/or assessor qualification, current industry skill, vocational competency, professional development, and training and assessment outcomes.

Prior to the commencement of teaching and as required by ASQA (clause 1.14-1.15), teaching staff must hold a:

• TAE40116 Certificate IV in Training and Assessment or its successor or
• TAE40110 Certificate IV in Training and Assessment plus the following units:
  • TAEQLN411 (or its successor) or TAEQLN401A, and
  • TAEASS502 (or its successor) or TAEASS502A or TAEASS502B or
  • diploma or higher level qualification in an approved adult education qualification.

Note: The units completed within the diploma or higher level qualification need to demonstrate the skills and knowledge required to train adults. An academic transcript or certified statement of results for the qualification needs to be provided as evidence to demonstrate this. The qualification should be mapped and evidence documented within the Trainer Skills Matrix.

In addition to the above ASQA clauses, clauses 1.13 also requires that all training and assessment must be delivered by those who have:

a. vocational competencies at least to the level being delivered and assessed
b. current industry skills directly relevant to the training and assessment being provided
c. current knowledge and skills in vocational training and learning that informs their training and assessment.
d. meet any specific Training Package / licensing requirements;

e. through professional development activities, continuously develop and improve their vocational and teaching
skills and knowledge;

f. a current working with children (WWCC) check or be VIT registered, (for any exclusions and / or exemptions
refer to the Working With Children (WWC) Check Procedure).

Teachers delivering any qualification from the TAE training package or its successor must hold:

- TAE50116 Diploma of Vocational Education and Training TAE50111, or;
- TAE50216 – Diploma of Training Design and Development, or;
- An approved higher level qualification in adult education.

It is preferred but not mandatory that those delivering the qualifications from the TAE training package also hold the
specific unit TAERES501 Apply Research to Training and Assessment Practice

In certain circumstances, incremental progression will be contingent on teaching staff meeting the education
qualification requirements as set out in the current relevant Enterprise Agreement in addition to other criteria.

Evidence in the form of academic transcripts, demonstrating compliance with the above requirements must be
uploaded by teaching staff onto the University Human Resources Management System (Empower) if they are
responsible for delivering and/or assessing the University’s VET/VCAL programs.

Qualification and vocational information for VET teaching staff must also be recorded in the Skills First Register of
Trainers and Assessors.

This includes, but is not limited to ongoing, contract, and sessional staff approved to be delivering programs on
behalf of Federation University under either Auspice, or approved subcontracting arrangements either on or off
shore.

VCAL Requirements

Teachers delivering any Victorian Certificate of Applied Learning Strand must hold an appropriate AQF 6
qualification with specialist subject knowledge, be VIT registered or have Permission to Teach (PPT) and hold:

- TAE40116 Certificate IV in Training and Assessment or its successor or
- TAE40110 Certificate IV in Training and Assessment plus the following units
  - TAELLN411 (or its successor) or TAELLN401A, and
  - TAEASS502 (or its successor) or TAEASS502A or TAEASS502B

Working Under Supervision

In exceptional circumstance and only with prior written approval from the DVC Academic, the University may
engage an individual who is not a trainer or assessor but who works under the supervision of a trainer/assessor but
they do not determine assessment outcomes. Approval to train under supervision will require completion of a fully
documented Direct Supervision plan approved by the DVC (Academic).

The University must ensure that any individual working under the supervision of a trainer under Clause 1.17 -1.19
of the Standards holds one of the following credentials:

- TAESS00007 Enterprise Trainer – Presenting Skill Set or
- TAESS00014 Enterprise Trainer – Presenting Skill Set or its successor
- TAESS00008 Enterprise Trainer – Mentoring Skill Set
- TAESS00013 – Enterprise Trainer – Mentoring Skill Set or its successor
- TAESS00003 Enterprise Trainer and Assessor Skill Set
In addition:

- has vocational competencies at least to the level being delivered and assessed;
- has current industry skills directly relevant to the training and assessment being provided;
- the level of the supervision required;
- any requirements, conditions or restrictions considered necessary on the individual’s involvement in the provision of training and collection of assessment evidence;
- holds a current working with children (WWCC) check or be VIT registered, (for any exclusions and / or exemptions refer to the Working With Children (WWC) Check Procedure).

Any trainers employed under supervision must be included on a qualification’s documentation, including TAS Part A, Delivery Plan and Trainer Skills Matrix. Details of the supervisor must be included in this documentation as well.

Industry experts may be involved in the assessment judgement, working alongside a qualified trainer/assessor to conduct an assessment.

As part of the formal industry engagement requirement of the 2015 Standards, the inclusion of industry experts is the only approved practice for utilising supervision arrangements.

Arrangements must be established to ensure qualifications are delivered and assessed by appropriately qualified staff in accordance with this Policy. Evidence of this appropriateness must be maintained as per requirements described in the Record Keeping section of this Policy.

For teachers of VCAL who are not VIT registered at the time of registration a documented supervision arrangement must be put in place.

**Professional Development of VET Teaching Staff**

Opportunities for training, industry release and staff development will be made available to increase the vocational skills and pedagogical knowledge of VET and VCAL teachers, and to engage with industry and broaden the areas in which individual teachers are qualified and competent to train and assess.

**The University encourages VET and VCAL teachers in the attainment of degrees or higher qualifications in education and training.**

Professional development relates to the individual and qualifications, therefore formal professional development records must be documented on the following:

1. Individual work plans as part of the Performance Review and Development Program;
2. Updated on the Trainer Skills Matrix for each qualification taught; and
3. Recorded in the Skills First Register of Trainers and Assessors.

Evidence of qualifications and certificates must be formally cited and authenticated by the Education Manager/Coordinator and uploaded on Empower [https://ess.federation.edu.au/empower/home.do](https://ess.federation.edu.au/empower/home.do) as part of the individual teacher’s personal information file.

The Performance Review and Development Program Policy and Procedure will be utilised to identify, plan and record evidence relating to professional development in training, assessment, current vocational competencies and teaching. VET and VCAL teaching staff will be expected to undertake a minimum level of professional development each year.
Skills First trainers and assessors may be required to undertake specific professional development as directed by the Department of Education and Training such as online learning.

Register of Trainers and Assessors

The Department of Education and Training requires all RTOs to establish and maintain a Register of Trainers and Assessors. In accordance with the Skills First Funding Contract this Register must contain the following information for each individual employed by the University to train and/or assess for vocational education and training, including those engaged through a sub-contractor:

- the individual’s name;
- whether the University engages the individual as a trainer or assessor, or as both;
- which qualifications the individual will be delivering training and/or assessment;
- the title of the highest qualification in training and assessment that the individual has obtained, and the date on which it was obtained;
- details of the individual’s vocational competencies for each industry area in which they will deliver qualifications, being either:
  - the title of the highest qualification in each industry area that the individual has obtained that is at least of the level being delivered, and the date on which it was obtained; or
  - confirmation that their vocational competencies have been mapped and determined to be equivalent to the level being delivered;
- details of the individual’s current industry skills that are directly relevant to the training and/or assessment being delivered;
- details of the individual’s participation in professional development, including:
  - in the fields of the knowledge and practice of vocational training, learning and assessment, including competency based training and assessment;
  - the individual’s participation in professional development related to online delivery; and
- whether that trainer or assessor is involved in the delivery of qualifications/courses on the Foundations Skills List or Training and Assessment Course List.

Teacher Induction

As part of the induction process, all qualifications, current industry skills and knowledge, and vocational competencies must be verified by the hiring manager or Education Manager, including comprehensive referee checks. Furthermore, the inductee is required to fill out a Trainer Skills Matrix prior to the receipt of their employment contract from HR.

New trainers and/or assessors must be enrolled in the University’s specified induction online training, which includes the Child Safe standards module.

Information regarding the regulatory requirements associated with the trainer and/or assessor role, and FedUni TAFE management and administrative responsibilities towards managing qualification and competency evidence will be provided to the trainer and/or assessor on orientation.

Records Management

The Trainers Skills Matrix is completed/updated annually for each qualification and the trainer must provide current qualifications and industry currency in relation to each unit of competency taught.
Documentary evidence confirming vocational qualification(s), training and assessment qualification(s) and any professional development must be sighted and retained in each staff member’s file; this includes evidence such as transcripts, testamurs and / or industry associate membership cards.

On commencement with the University (or after 1st pay period to access Empower) all staff must upload (cited and signed by Supervisor) copies of their formal qualifications, licenses and competencies directly to the Human Resources portal, Empower.

Web link to instruction manuals:


Web link to Empower:

https://ubess.ballarat.edu.au/empower/

It is the responsibility of staff to ensure that they continue to upload further cited qualifications, licenses and competencies as they receive these.

It is the responsibility of the Directors of Centre/Heads of School/Education Managers to ensure all staff complete and keep their records updated.

<table>
<thead>
<tr>
<th>Document Title</th>
<th>Location</th>
<th>Responsible Officer</th>
<th>Minimum Retention Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Testamur / Statements</td>
<td>HR Empower</td>
<td>Education Manager</td>
<td>50 years after last contact</td>
</tr>
<tr>
<td></td>
<td>Staff Files</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Victorian Institute of Teaching (VIT) Registration</td>
<td>HR Empower</td>
<td>Education Manager</td>
<td>Period of registration</td>
</tr>
<tr>
<td></td>
<td>Staff Files</td>
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<tr>
<td>Working With Children Check</td>
<td>HR Empower</td>
<td>Education Manager</td>
<td>Period of Registration</td>
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<td></td>
<td>Staff Files</td>
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</tr>
<tr>
<td>Trainer Skills Matrix</td>
<td>Staff Files</td>
<td>Education Manager</td>
<td>2 years after cessation of delivery / assessment activities</td>
</tr>
<tr>
<td>VetTAC</td>
<td>Staff Files</td>
<td>Education Manager</td>
<td>2 years after cessation of delivery / assessment activities</td>
</tr>
<tr>
<td>Register of Trainer &amp; Assessors</td>
<td>Faculties</td>
<td>Executive Directors</td>
<td></td>
</tr>
<tr>
<td>Direct Supervision Record Sheet</td>
<td>Within Department</td>
<td>Directors/Head/</td>
<td>2 years after cessation of delivery/assessment activities</td>
</tr>
</tbody>
</table>

**Records Disposal**

University records must only be disposed of in accordance with the University’s Records Disposal Process as outlined in the Records Framework Procedure. Authorisation must be obtained from the Faculty/Centre/College, Records Management Services and the University Registrar or their delegate prior to disposal. Records must not be destroyed where it is known that those records may likely be required in evidence, either now or in the future.
Supporting Documents

- Performance Review and Development Program Policy
- Performance Review and Development Program Procedure
- Federation University Australia TAFE Teachers Enterprise Agreement 2018

Forms.

- Direct Supervision Record Sheet (DOCX 254.3kb)
- Performance Plan - Individual (DOCX 337.8kb)
- Professional Development Application Form VET (DOCX 194.5kb)
- Professional Development Plan (DOCX 146.4kb)
- Trainer Skills Matrix (DOCX 127.7kb)
- VET Teacher Work Plan (XLSX 83.5kb)

Responsibility

The Executive Director/Directors/Education Managers are responsible for ensuring compliance with the terms and conditions of the VET Teacher Qualifications and Competency Policy.

The Deputy Vice-Chancellor (Academic) as the Approval Authority is responsible for monitoring the implementation, outcomes and scheduled review of this policy and its accompanying procedure/s.

The Director, VET Practice as the Policy Sponsor is responsible for maintaining the content of this policy.

Promulgation

The policy will be communicated throughout the University via:

- Announcement the University’s News webpage;
- Inclusion in the University Policy Library;
- Annual professional development including assessment activities and workshops.

Implementation

The VET Teacher Qualifications and Competency Policy will be implemented throughout the University through completion and collection of appropriate forms as listed in this Policy.

Director of VET Operations will meet with key stakeholders to discuss this Policy and its requirements.